#### BIOINFORMATICS 1

or why biologists need computers

http://www.bioinformatics.uni-muenster.de/teaching/courses-2011/bioinf1/index.hbi



#### INTRODUCTION TO SEQUENCE ANALYSIS

dot plots, alignments, and similarity searches



THISISANANCESTRALSEQUENCE

THISISANCMNESTRALSEQUENCE

THISISANCMNESTRAWSEQUENCE

THISISANCMPESTRAWSEQUENCE

#### THISISCNMPESTRAWSEQUENCE

Please note deletion of "C"

#### THISISCOMPEETRAWSEQUENCE

THISISNMPERSXTRAWSEQUENCE

Please note deletion of "C" and "W"

#### THISISCOMPEETLAWSEQUENCE

THISISCNMPEEXTRASEQUENCE

Please note insertion of "C"

THISISCOMPLETLNAWSEQUENCE

THISISCSMPEEXTRASEQUENCE

THISISCOMPLETLNAWSEQUENCE

THISISCSUPEEXTRASEQUENCE

THISISCOMPLETLNEWSEQUENCE

THISISCSUPEEXTRASEQUENCE

#### THISISCOMPLETELYNEWSEQUENCE

THISISSUPEREXTRASEQUENCE

Please note another deletion of "C" and insertion of "R"

THISISANANCESTRALSEQUENCE THISISCOMPLETELYNEWSEQUENCE

THISISANANCESTRALSEQUENCE THISISSUPEREXTRASEQUENCE

THISISANANCEST-R--ALSEQUENCE THISISCOMP-LETELYNEWSEQUENCE

THISISANANCES-TRALSEQUENCE THISISSU-PEREXTRA-SEQUENCE

THISISCOMPLETELYNEWSEQUENCE THISISSUPEREXTRASEQUENCE

THISISCOMP-LE-TELYNEWSEQUENCE THISISSU-PEREXT-R--A-SEQUENCE

#### THISISCOMP-LE-TELYNEWSEQUENCE THISISSU-PEREXT-R--A-SEQUENCE

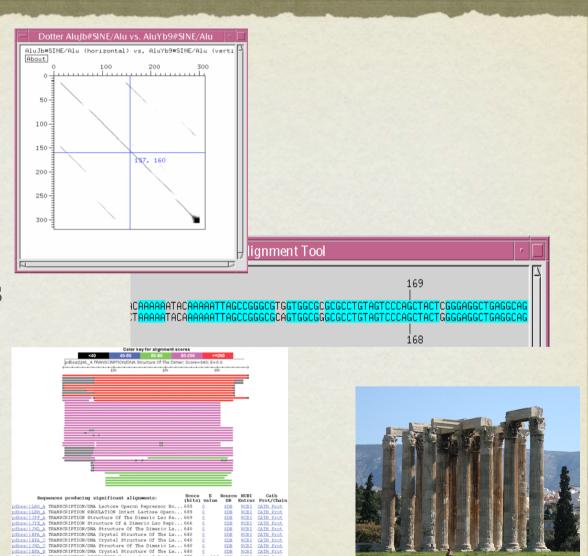
The problem is that we need to model evolutionary events based on extant sequences, without knowing an ancestral sequence!

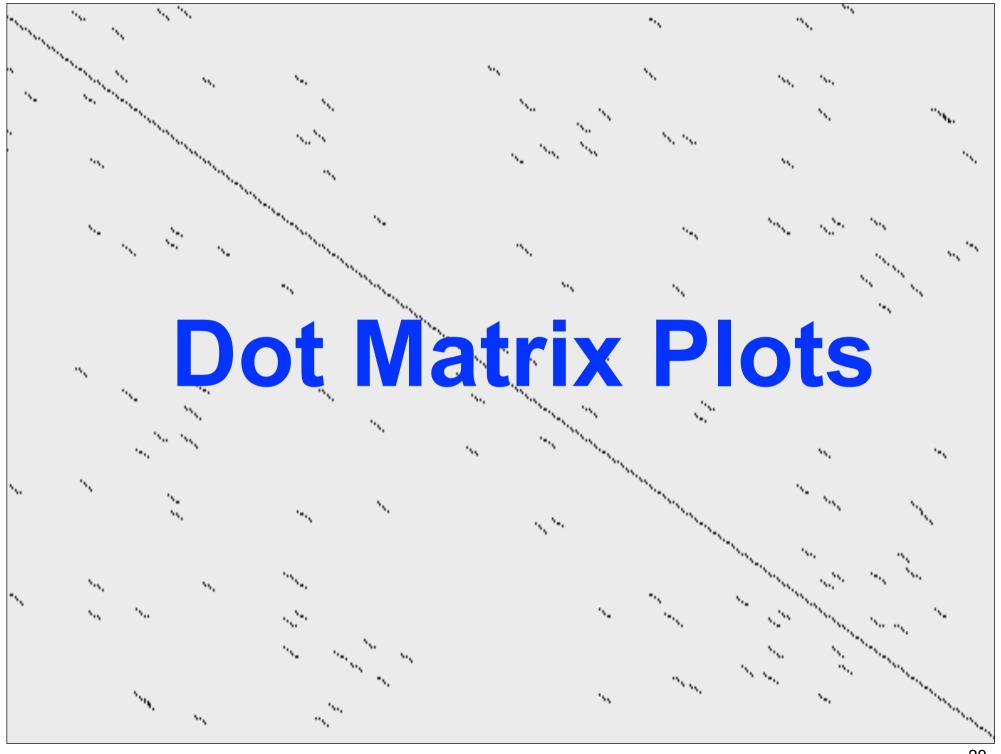
#### MAJOR TECHNIQUES TO BE DISCUSSED

Dot Matrix plots

Sequence alignments

Similarity searches





#### DOT MATRIX PLOTS

- Sensitive qualitative indicators of similarity
- Better than alignments in some ways
  - · rearrangements
  - · repeated sequences
- Rely on visual perception (not quantitative)
- Useful for RNA structure

#### DOT MATRIX PLOTS

- Simplest method put a dot wherever sequences are identical
- A little better use a scoring table, put a dot wherever the residues have better than a certain score
- Or, put a dot wherever you get at least n matches in a row (identity matching, compare/word)
- · Even better filter the plot

#### WINDOWED SCORES ALGORITHM

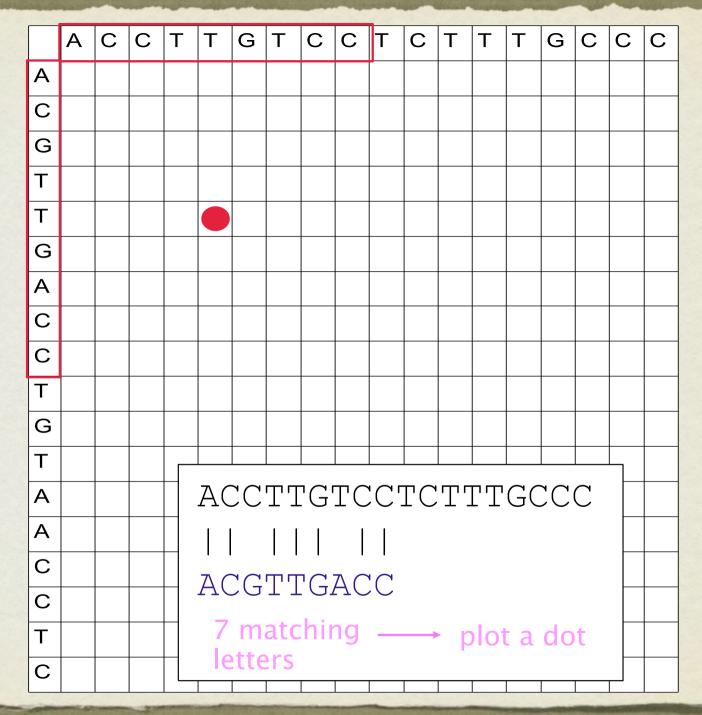
- calculate a score within a window of a given size, for example six
- 2. plot a point if score is over a threshold (stringency), for example 70%
- 3. move the window over a given step, for example one
- 4. repeat step one to three till the end of sequence

# WINDOWED SCORES EXAMPLE

Let's compare two nucleotide sequences

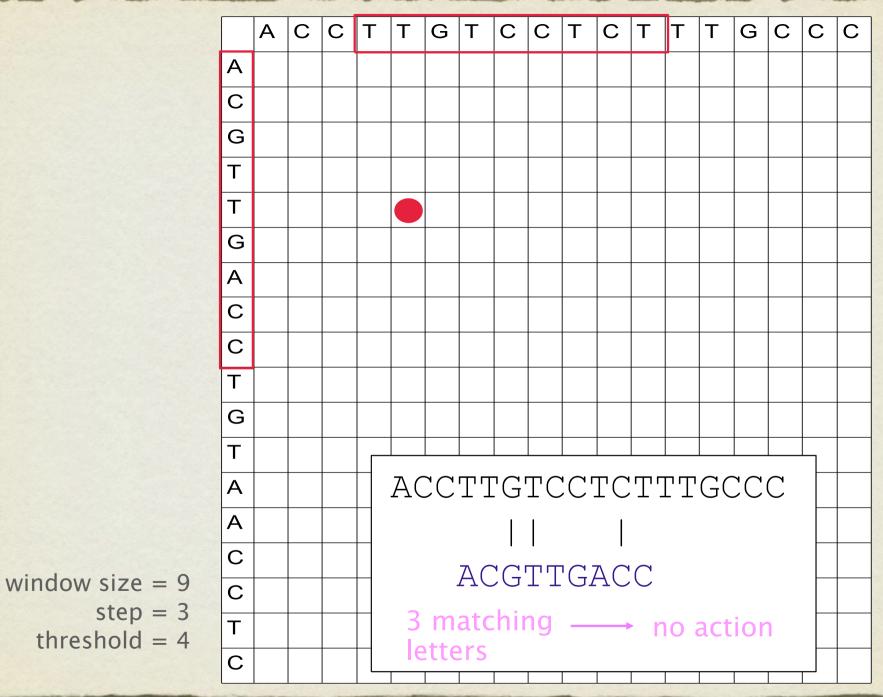
#### ACCTTGTCCTCTTTGCCC ACGTTGACCTGTAACCTC

using following parameters: window size = 9, step = 3, threshold = 4

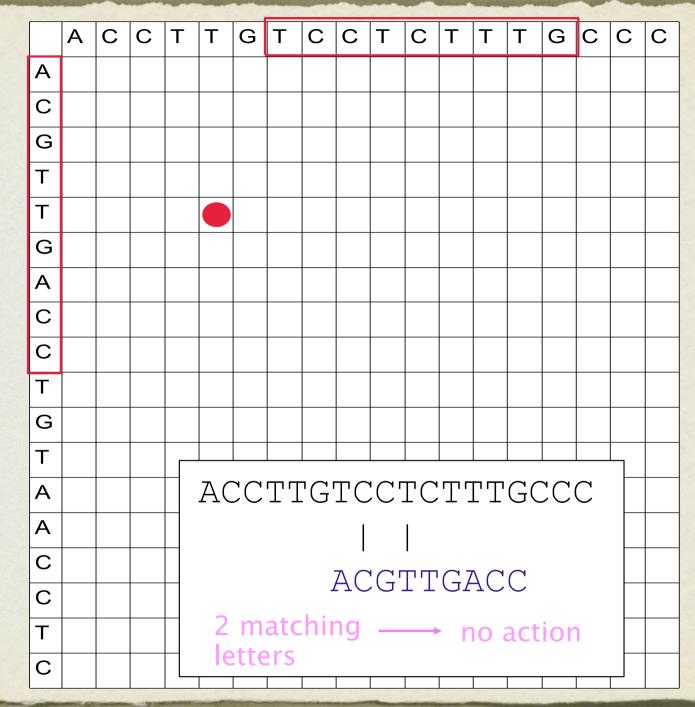


window size = 9

step = 3

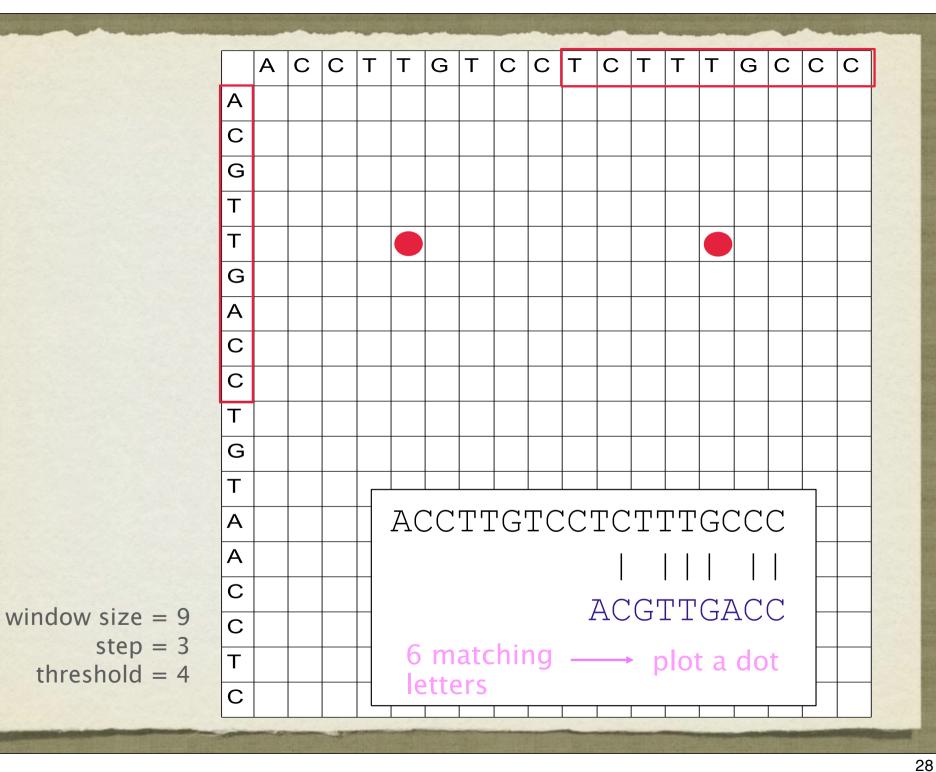


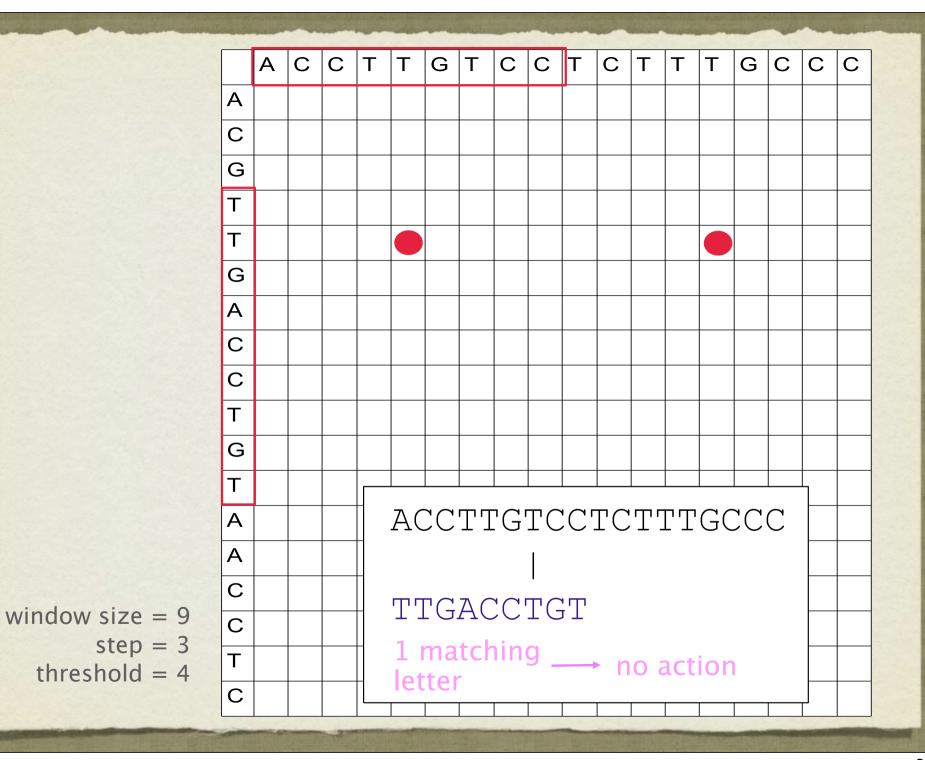
step = 3

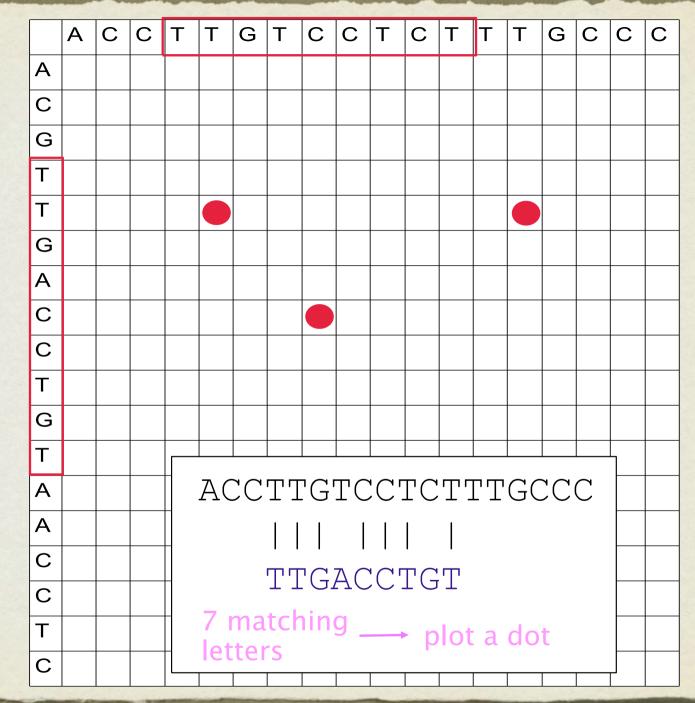


window size = 9

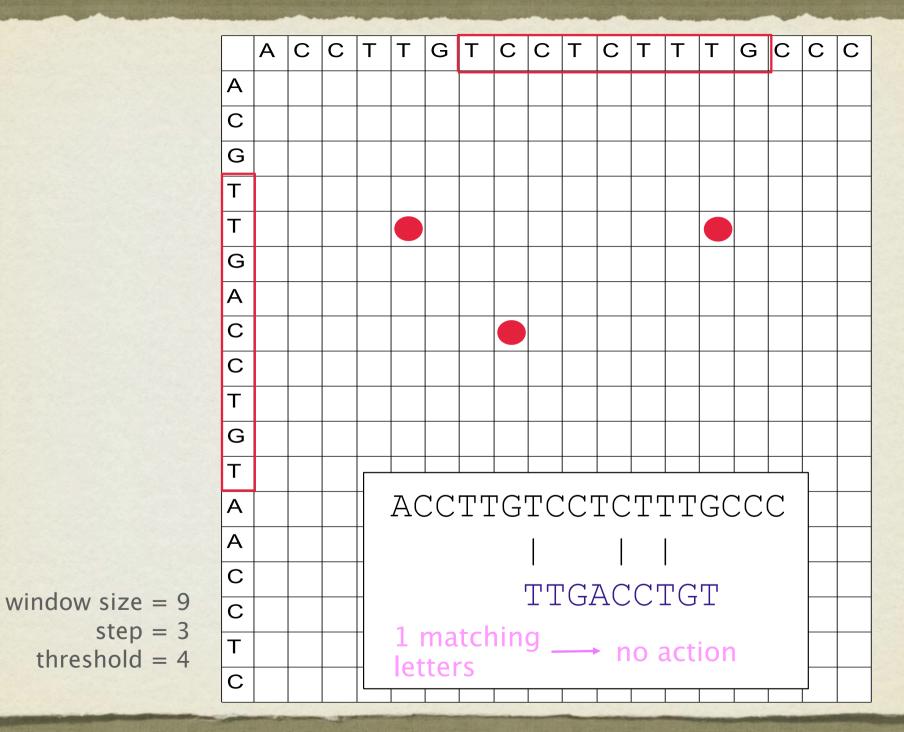
step = 3



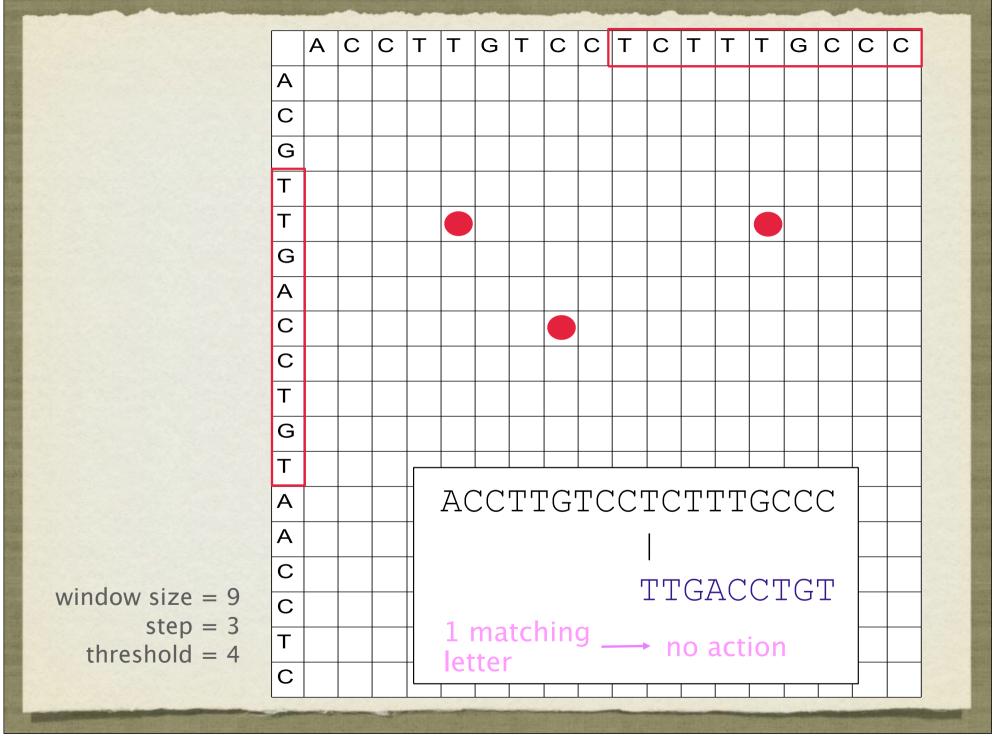


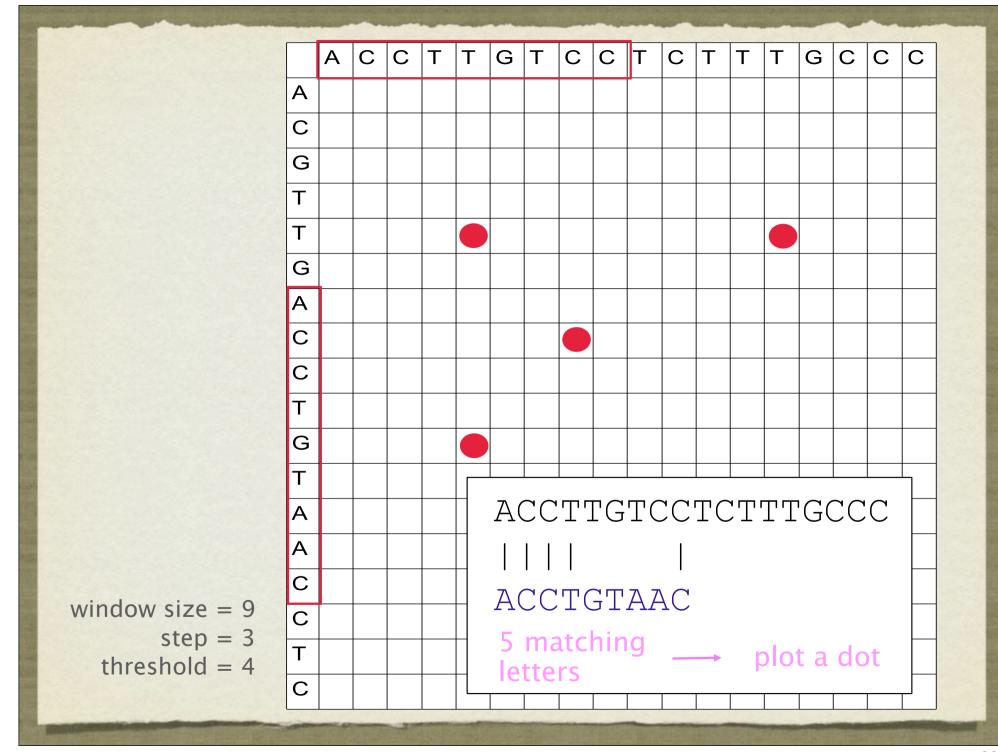


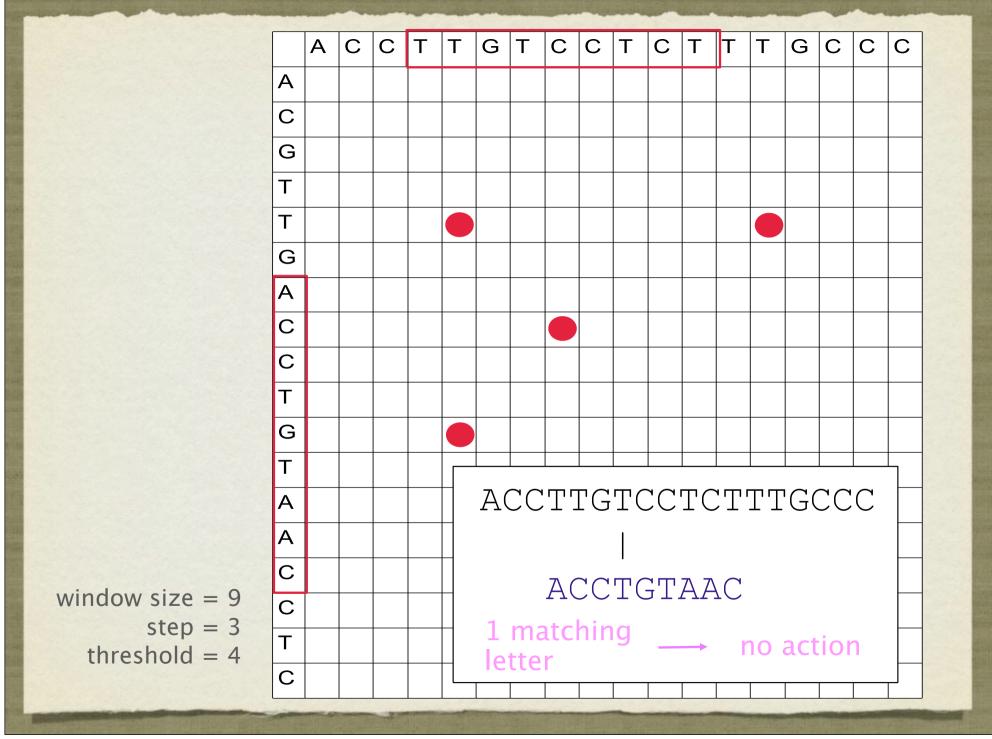
window size = 9 step = 3

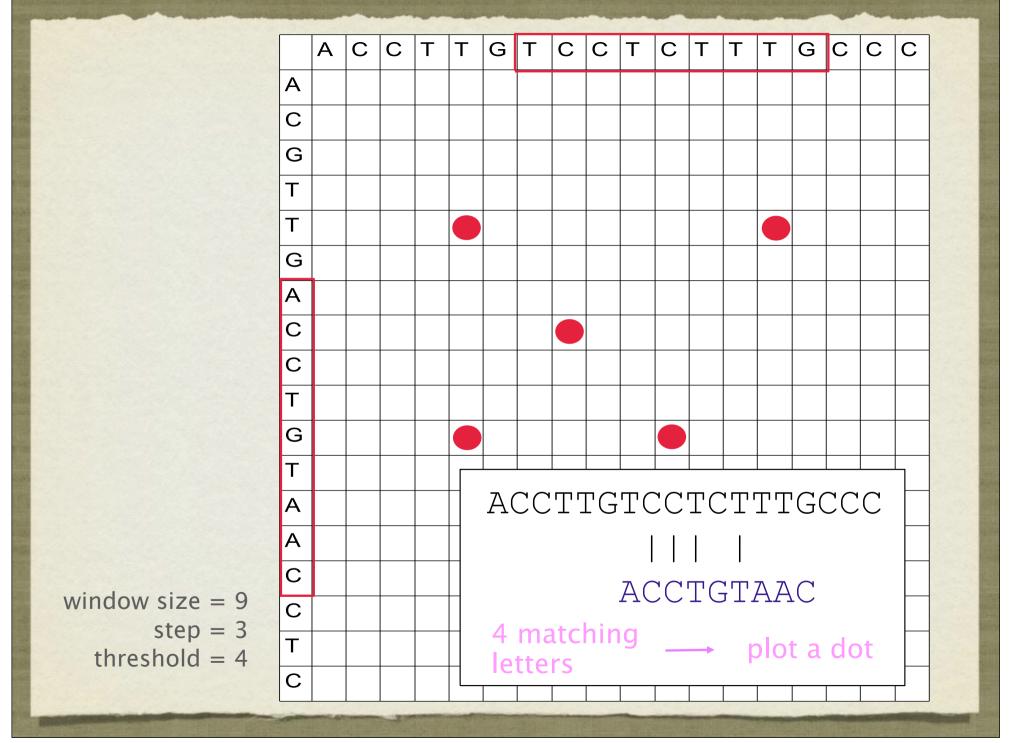


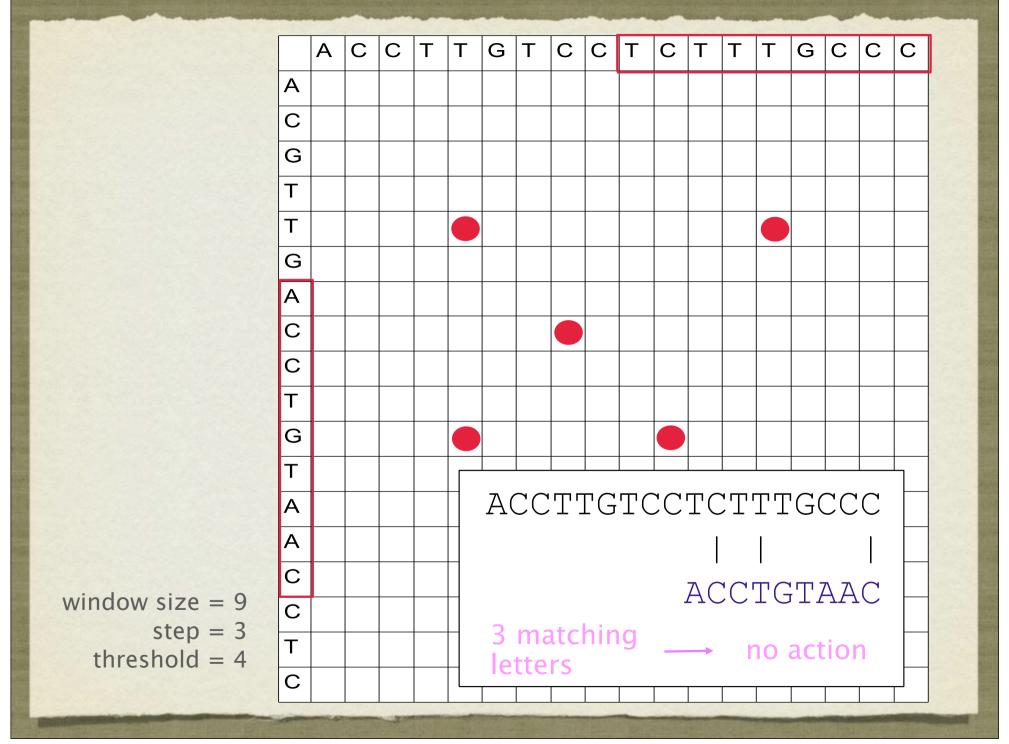
step = 3threshold = 4

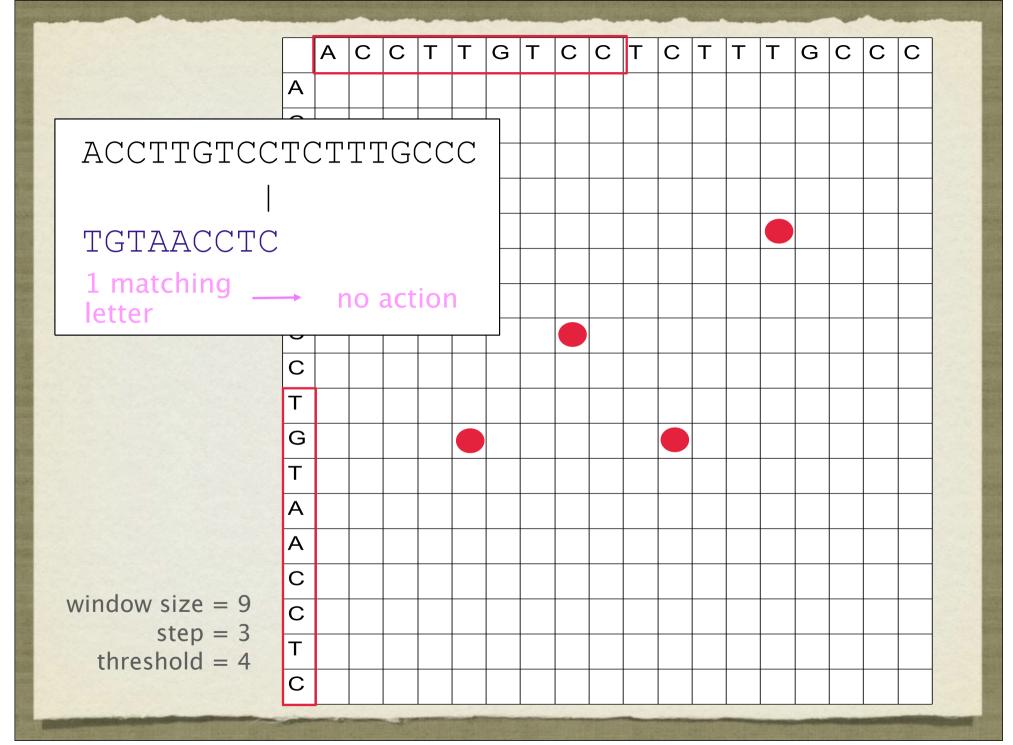


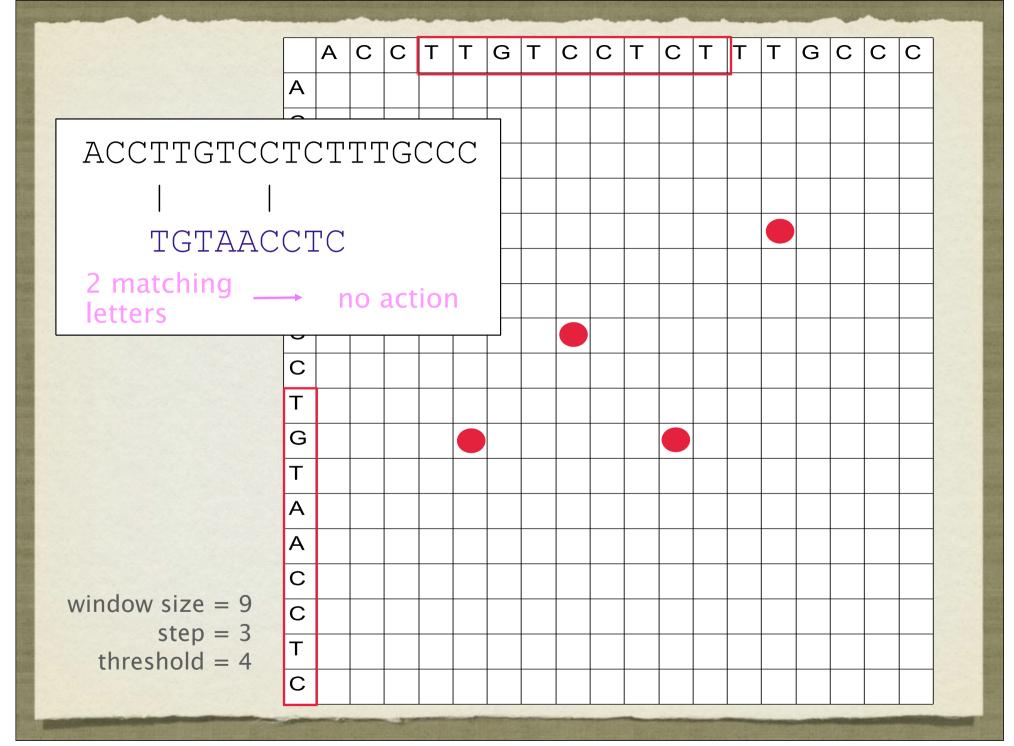


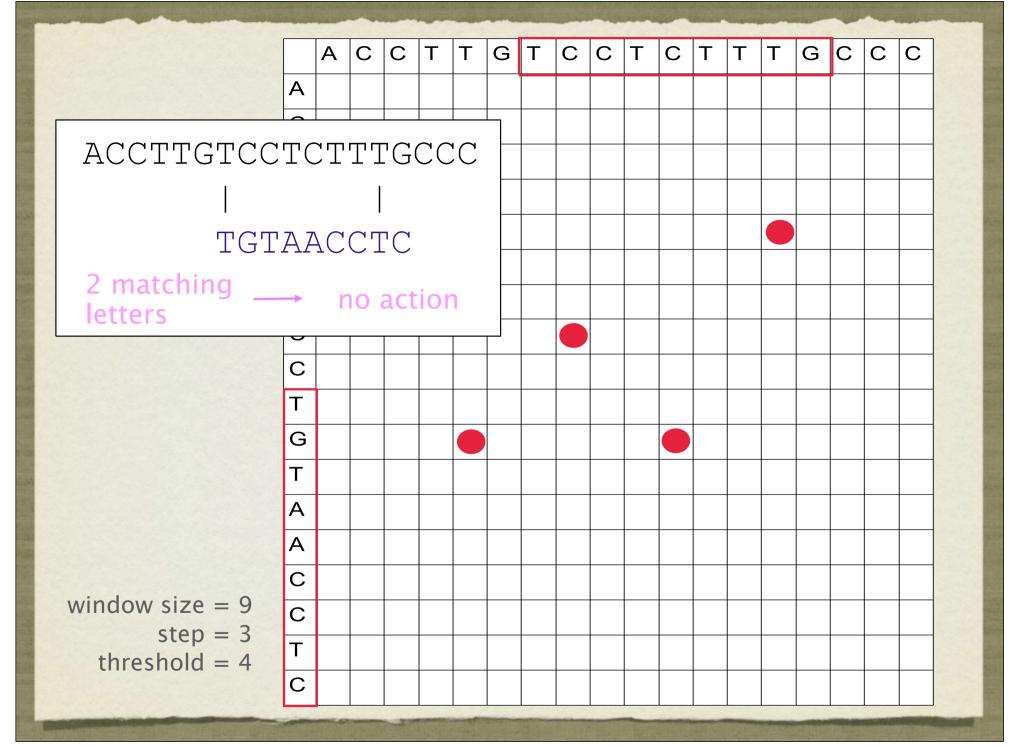


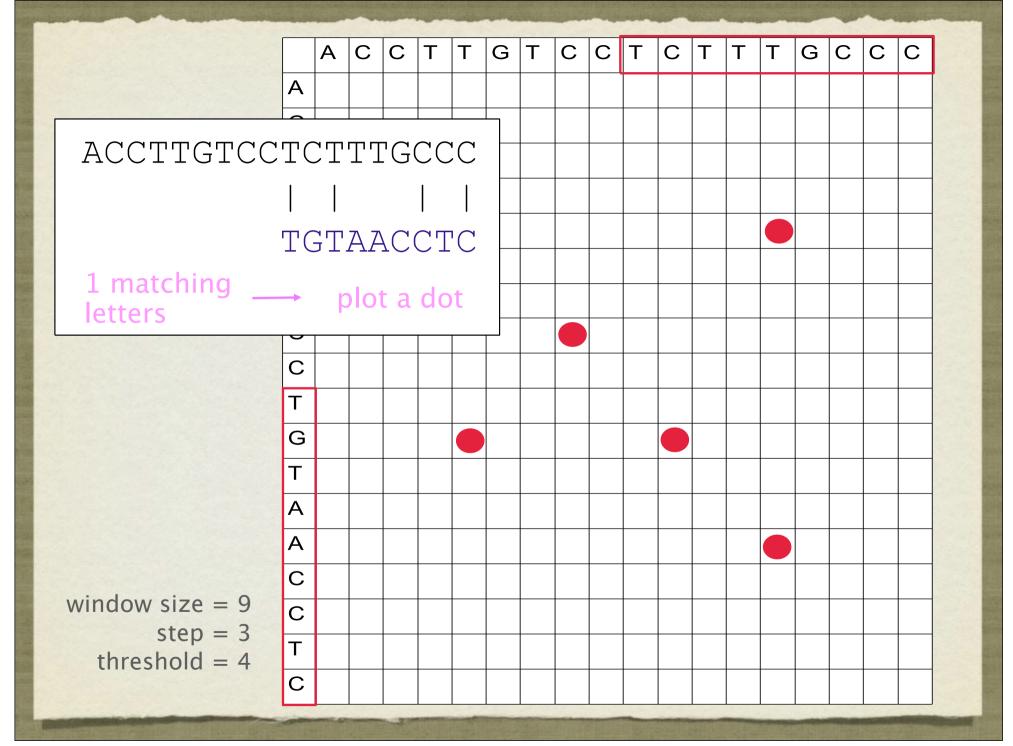


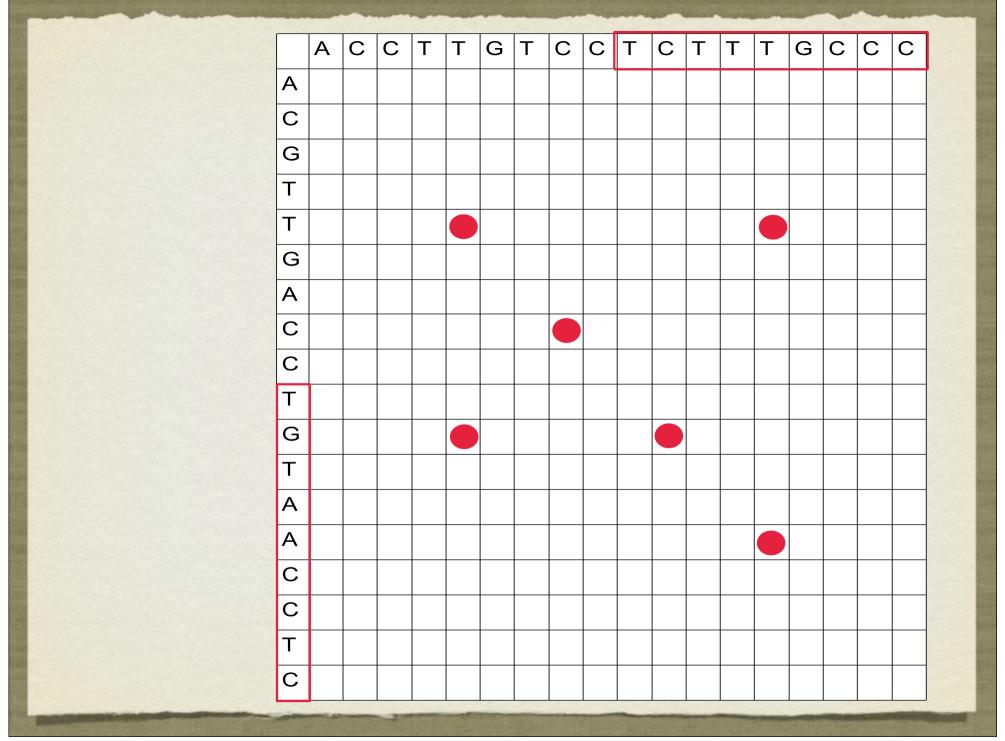


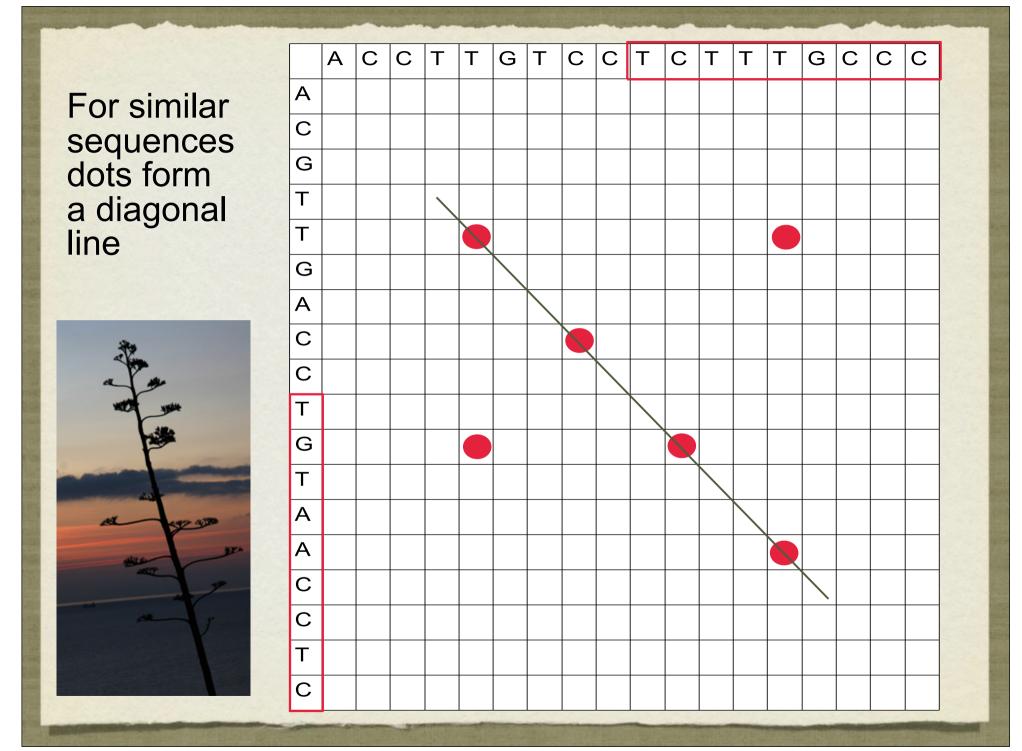




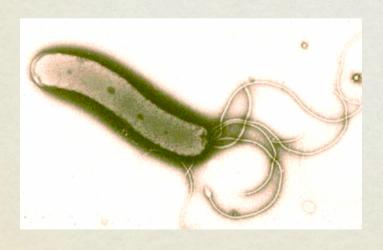


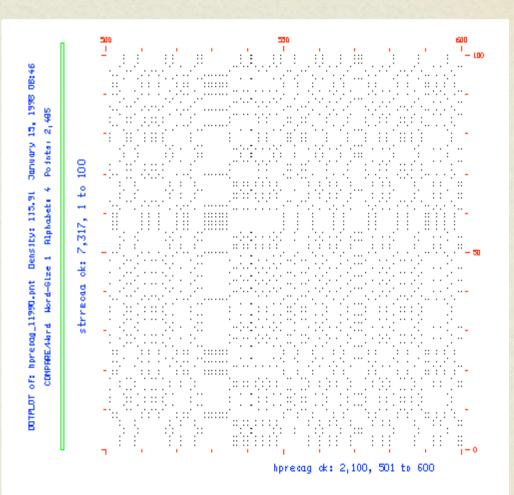




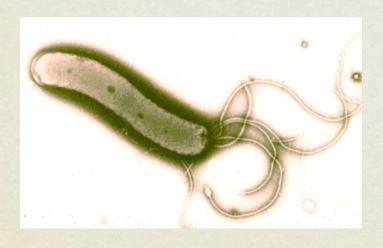


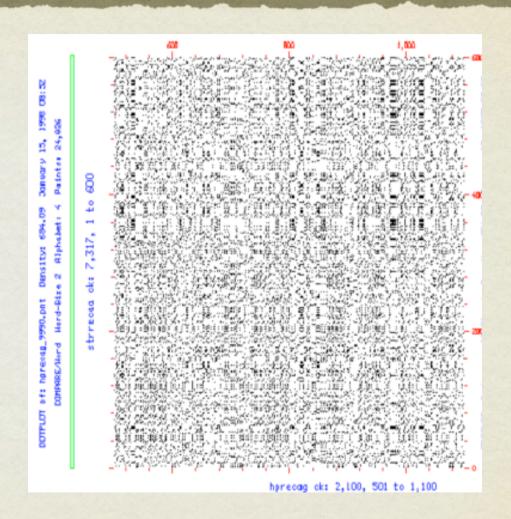
RecA DNA sequence from Helicobacter pylori and Streptococcus mutant, window=1 match=1



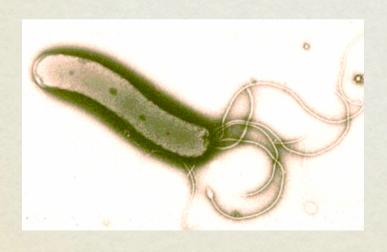


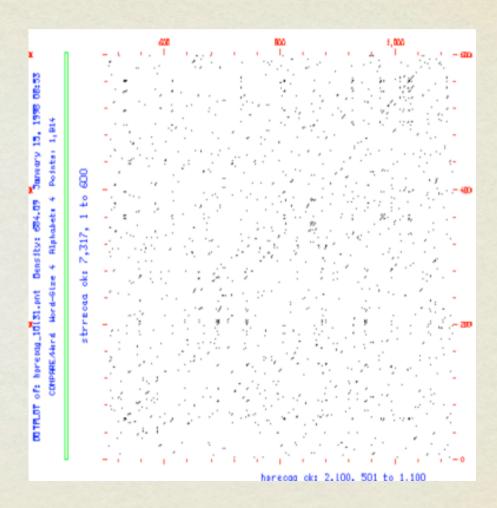
RecA DNA sequence from Helicobacter pylori and Streptococcus mutant, window=2 match=2



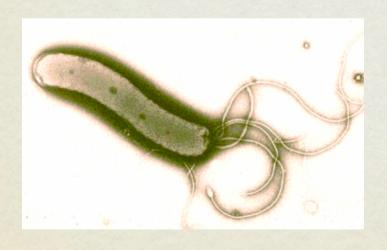


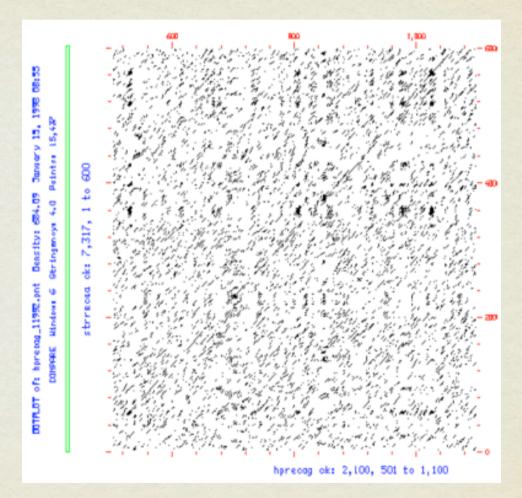
RecA DNA sequence from Helicobacter pylori and Streptococcus mutant, window=4 match=4



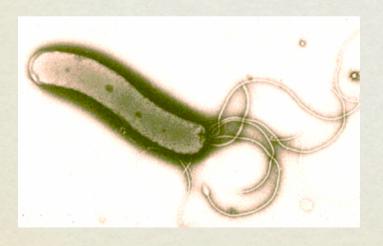


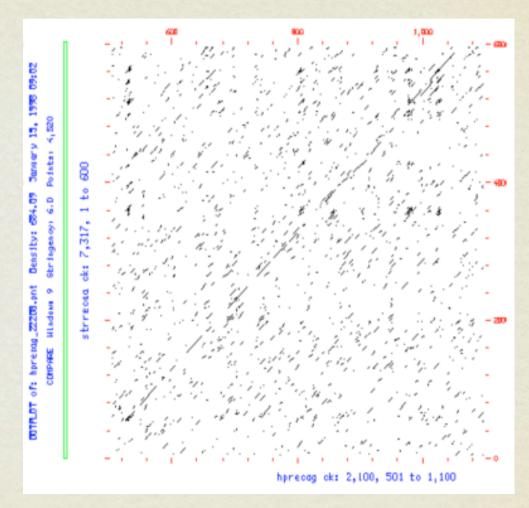
RecA DNA sequence from Helicobacter pylori and Streptococcus mutant, window=6 match=4



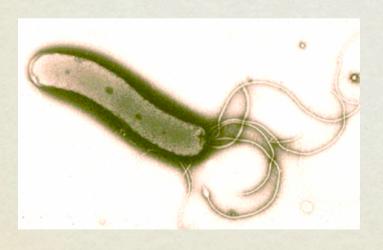


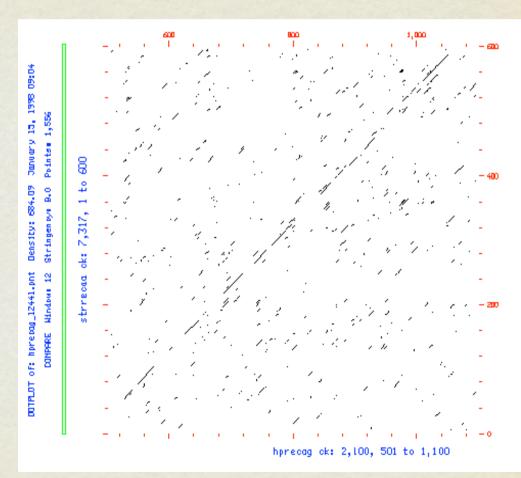
RecA DNA sequence from Helicobacter pylori and Streptococcus mutant, window=9 match=6





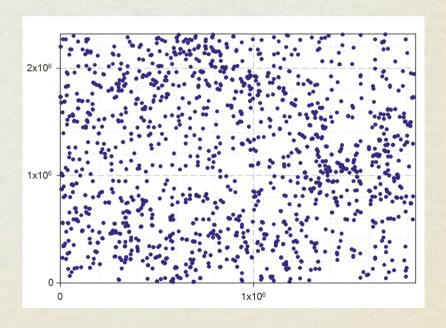
RecA DNA sequence from Helicobacter pylori and Streptococcus mutant, window=12 match=8





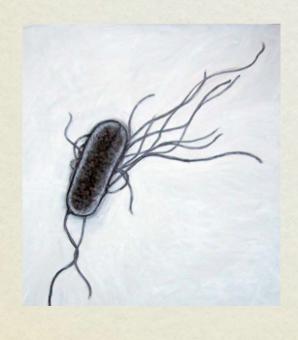
## DOT PLOT - WHAT CAN YOU SEE THERE?

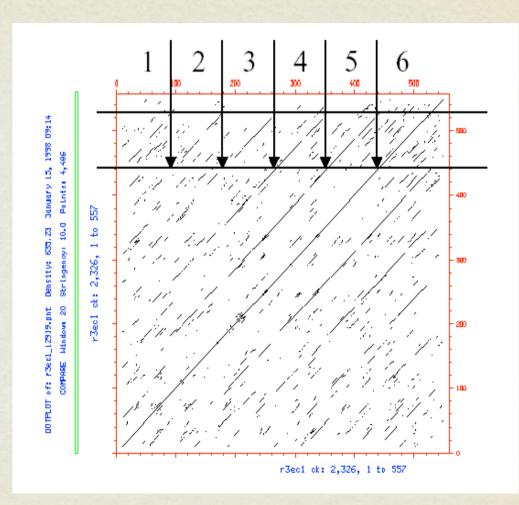
- Similar regions
- Repeated sequences
- Sequence rearrangements
- · RNA structures
- · Gene order



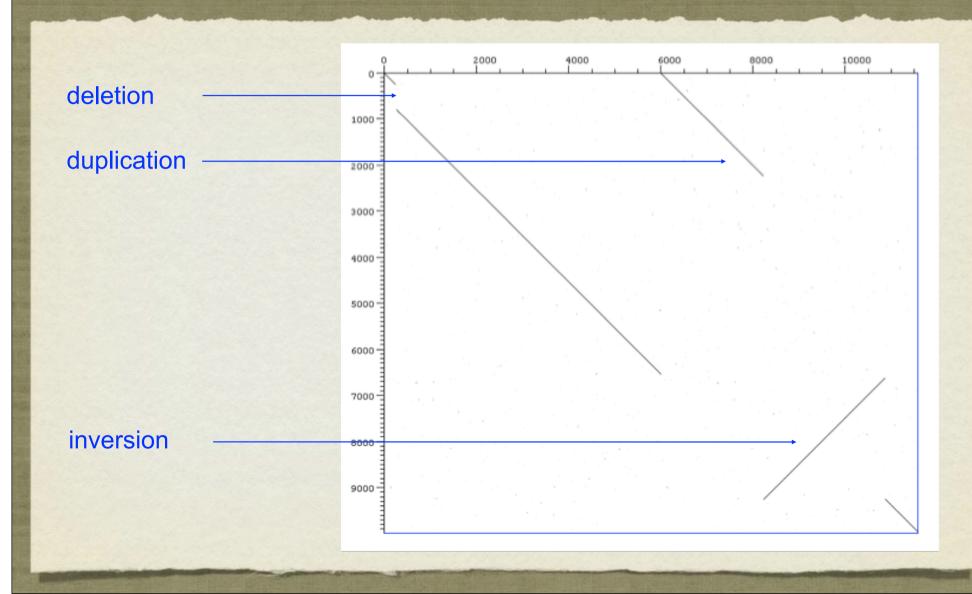
## DOT PLOT EXAMPLES -REPEATS

Repeated sequence in *Escherichia coli* ribosomal protein S1

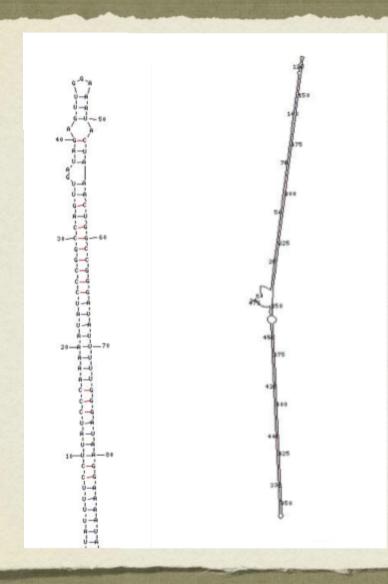


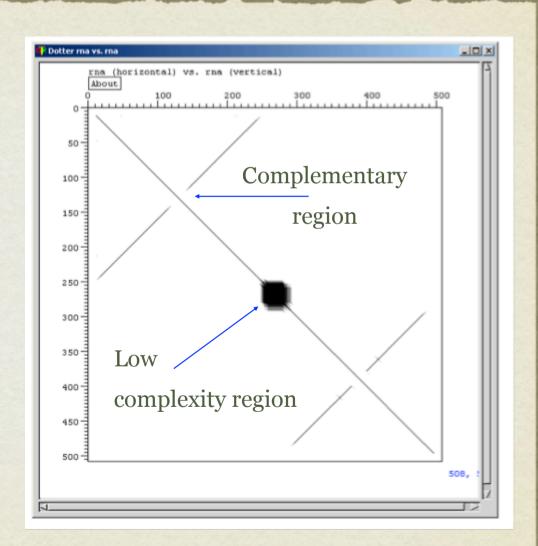


## DOT PLOT EXAMPLES -REARRANGEMENTS



## DOT PLOT EXAMPLES -RNA STRUCTURE

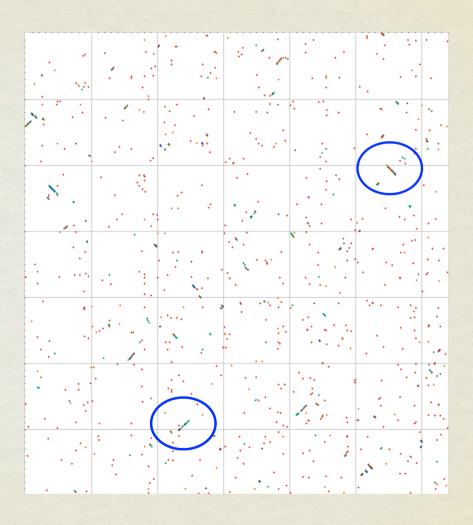




## DOT PLOT EXAMPLES -GENE ORDER

Whole genome comparison of Buchnera against Wigglesworthia

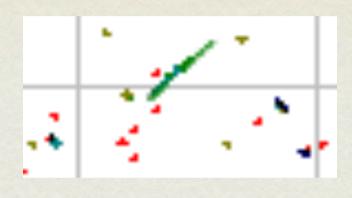
red dots - genes on the same strand green dots - genes on opposite strand

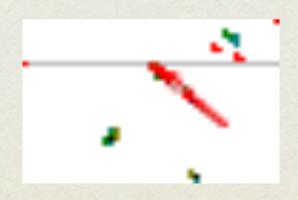


## DOT PLOT EXAMPLES -POTENTIAL OPERONS

Whole genome comparison of Buchnera against Wigglesworthia

red dots - genes on the same strand green dots - genes on opposite strand



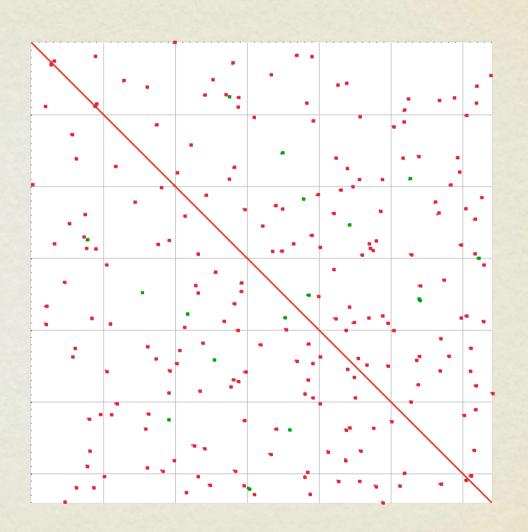


## DOT PLOT EXAMPLES -PARALOGOUS GENES

Whole genome comparison of Wigglesworthia

red dots - paralogs on the same strand green dots - paralogs on opposite strand

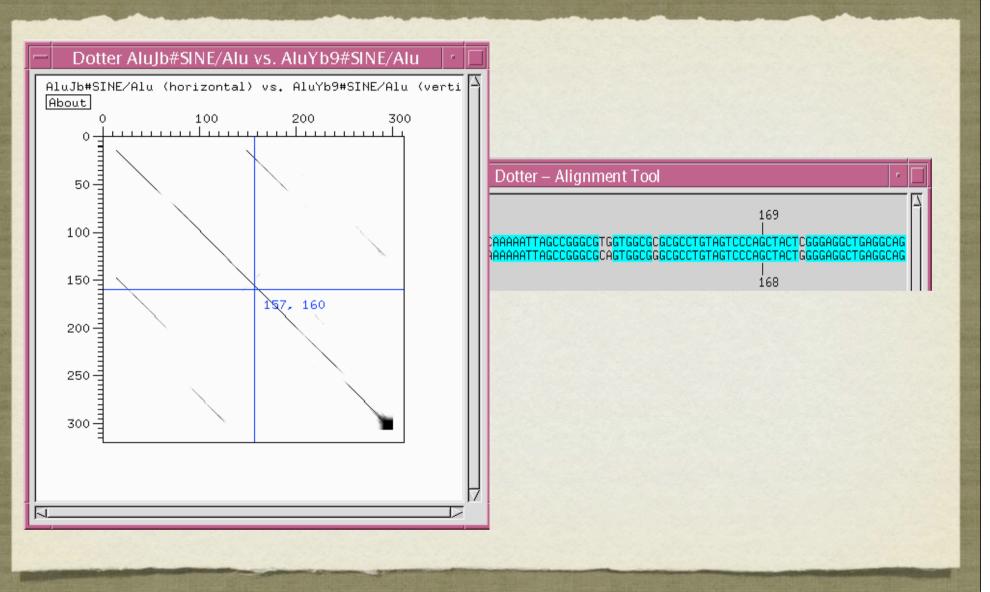
Note: slef-hits of all genes form red diagonal line



## DOT PLOTS RULES OF THUMB

- Don't get too many points, about 3-5 times the length of the sequence is about right (1-2%)
- Window size about 20 for distant proteins and 12 for nucleic acid (try stringency 50%)
- · Check sequence against itself
  - · Finds internal repeats
- · Check sequence against another sequence
  - · Finds repeats and rearrangements
- The best programs should have dynamic adjastment of parameters
  - · dotlet: http://myhits.isb-sib.ch/cgi-bin/dotlet
  - · dotter: http://sonnhammer.sbc.su.se/Dotter.html

## DOT PLOTS VERSUS ALIGNMENTS



#### ALIGNMENT

- Linear representation of relation between sequences that shows one-to-one correspondence between amino acid or nucleotide residue
- How can we define a quantitative measure of sequence similarity?
  - · match
  - · \* mismatch
  - · gap

#### ALIGNMENT

- any assignment of correspondences that preserves the order of residues within the sequence is an alignment
- · It is the basic tool of bioinformatics
- Computational challenge introduction of insertions and deletions (gaps) that correspond to evolutionary events
- We must define criteria so that an algorithm can choose the <u>best</u> alignment

## ALIGNMENT AN EXAMPLE

Let's compare two strings gctgaacg and ctataatc

an uninformative alignment

-----gctgaacg

an alignment without gaps

gctgaacg ctataatc

an alignment with gaps

gctga-a--cg --ct-ataatc

another alignment with gaps

gctg-aa-cg -ctataa-tc



#### SCORING SCHEMES

- A scoring system must account for residue substitution, and insertions or deletions (indels)
- · Indels (gaps) will have scores that depend on their length
- For nucleic acid sequences, it is common to use a simple scheme for substitutions, e.g. +1 for a match, -1 for a mismatch
- More realistic would be to take into account nucleotide frequencies (sequence composition) and fact that transitions are more frequent than transversions

#### GAP SCORING SYSTEMS

- non-affine model each gap position treated the same, e.g. match = 4, mismatch = -3, gap -4
- affine model first gap position penalized more than others, e.g. match = 4, mismatch = -3, gap opening = -8, gap = -4

## GAP SCORING AN EXAMPLE

non-affine gapping score - the second alignment is "better"

## GAP SCORING AN EXAMPLE

affine gapping score - the first alignment is "better"

GGTGCCAC-TCCAC----CTG

AGTGCCACCCCCAATGCCGCTG

-3 4 4 4 4 4 4 4 4 -12 -3 4 4 4 -3 -12 -4 -4 -4 -4 4 4 4 4 = 7

GGTGCCAC-TCCA---C-CTG

AGTGCCACCCCCAATGCCGCTG

-3 4 4 4 4 4 4 4 4 -12 -3 4 4 4 -12 -4 -4 4 -12 -4 4 4 4 4 = 2

## GAP SCORING AN EXAMPLE

Equivalent alignments

```
GGTGCCAC-TCCA---C--CTG

AGTGCCACCCCCAATGCCGCTG

-3 4 4 4 4 4 4 4 4 -12 -3 4 4 4 -12 -4 -4 4 -12 -4 4 4 4 = 2
```

GGTGCCACT-CCA---C-CTG

AGTGCCACCCCCAATGCCGCTG

-3 4 4 4 4 4 4 4 4 -3 -12 4 4 4 -12 -4 4 4 -12 -4 4 4 4 4 = 2

## AMINO ACID SCORING SYSTEMS

- · more complicated than nucleotide matrices
- first, we can align two homologous protein sequences and count the number of any particular substitution, for instance Serine to Threonine
- a likely change should score higher than a rare one
- we have to take into account that several the same position mutated several times after sequence divergence this could bias statistics

## AMINO ACID SCORING SYSTEMS

- to avoid this problem one can compare very similar sequences so one can assume that no position has changed more than once
- Margret Dayhoff introduced the PAM system (Percent of Accepted Mutations)



- 1 PAM two sequence have 99% identical residues
- 10 PAM two sequence have 90% identical residues

# APPROXIMATE RELATION BETWEEN PAM AND SEQUENCE IDENTITY

PAM	0	30	80	110	200	250
AA sequence identity (%)	100	75	50	60	25	20

PAM matrix is expressed as log-odds values multiplied by 10 simply to avoid decimal points

## PAM MATRIX CALCULATION

score of substitution i <-> j = log

observed i <-> j mutation rate

mutation rate expected from amino acids frequencies

For instance, a value 2 implies that in related sequences the mutation would be expected to occur 1.6 times more frequently than random.

The calculation: The matrix entry 2 corresponds to the actual value 0.2 because of the scaling. The value 0.2 is  $\log_{10}$  of the relative expectation value of the mutation. Therefore, the expectation value is  $10^{0.2} = 1.6$ 

#### AMINO ACID MATRICES

- Problem with PAM schema lies in that the high number matrices are extrapolated from closely related sequences
- Henikoffs developed the family of BLOSUM matrices based on the BLOCKS database of aligned protein sequences, hence the name BLOcks SUbstitution Matrix
- observed substitution frequencies taken from conserved regions of proteins (blocks), not the whole proteins as in case of Dayhoff's work
- two avoid overweighting closely related sequences, the Hennikoffs replaced groups of proteins that have sequence identities higher than a threshold by either a single representative or a weighted average, e.g. for the commonly used BLOSUM62 matrix the threshold is 62%
- NOTE reversed numbering of PAM and BLOSUM matrices

## BLOSUM 62 SCORING MATRIX

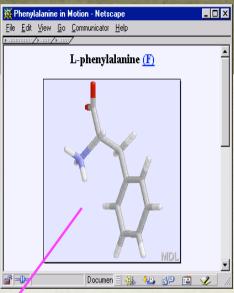
```
some replacement are more
frequent than others
score system based on
comparison of homologous
domains
```

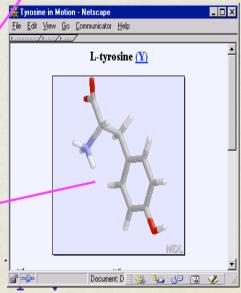
## BLOSUM 62 SCORING MATRIX

```
identical amino acids get
positive score but not the same
- frequent amino acids get
lower score than rare amino
acids
```

### BLOSUM 62 SCORING MATRIX Phenylalnine in Motion - Netscape File Edit View Go Communicator Help Transmission | Matrix | Transmission | Matrix

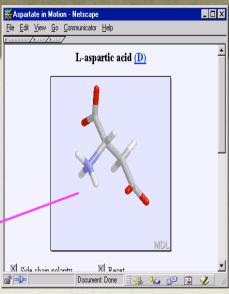
substitutions to amino acids of similar properties give a positive score

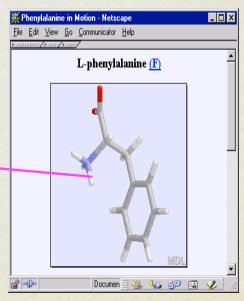




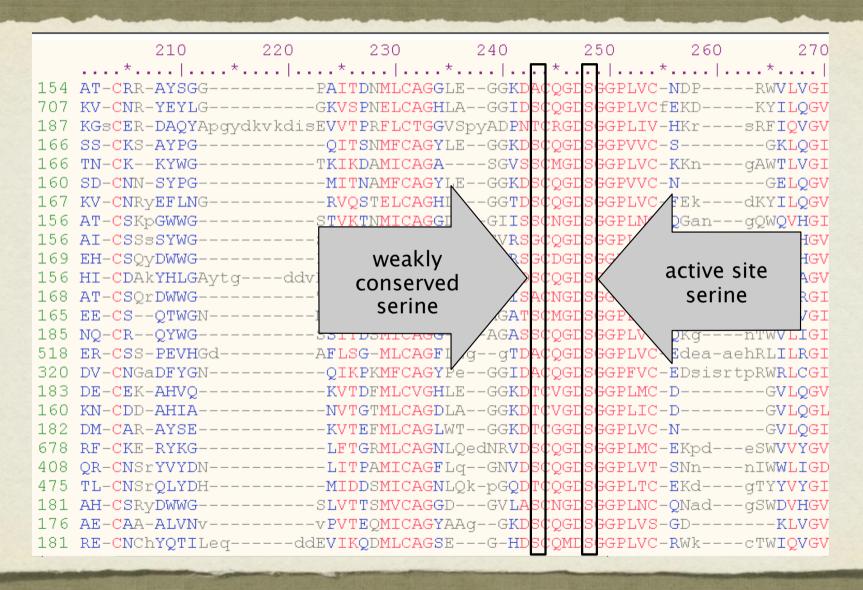
### BLOSUM 62 SCORING MATRIX \*\*Asparlate in Motion - Netscape Ete Edit View @n Communicator Help

```
substitutions to amino
acids of different
properties give a
negative score
```

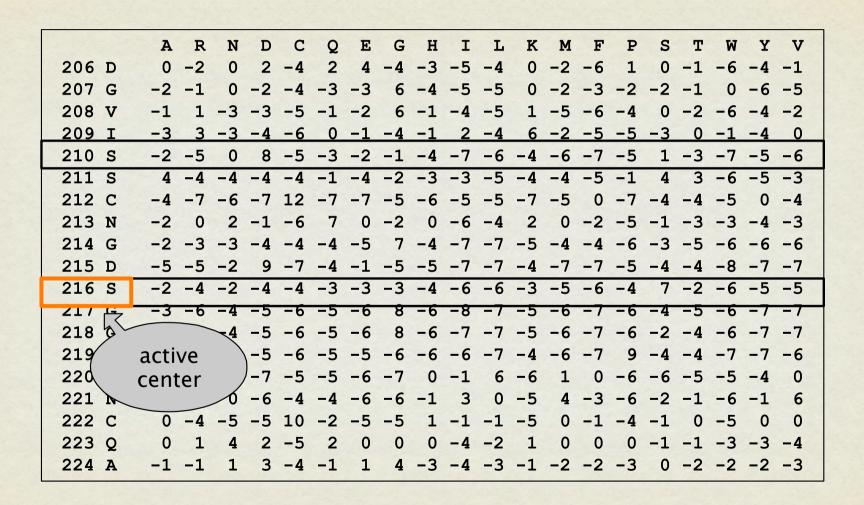




### POSITION SPECIFIC SUBSTITUTION MATRIX



### POSITION SPECIFIC SUBSTITUTION MATRIX

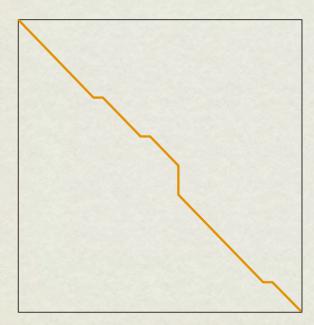


#### SCORING RECOMMENDATIONS

- · \rightarrow nucleotide sequence comparison
  - match +10, mismatch -3, gap opening -50, gap extension -5
- · amino acid sequence comparison
  - for general use (e.g. unknow sequence similarity) BLOSUM62
  - for diverged proteins PAM250 or BLOSUM30
  - for similar sequences PAM15 or BLOSUM80

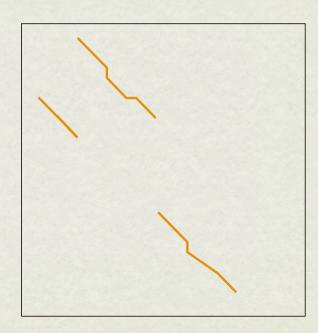
### GLOBAL VERSUS LOCAL ALIGNMENT

Optimal global alignment



Sequences align essentially from end to end. Needleman & Wunsch (1970)

Optimal local alignment



Sequences align only in small, isolated regions. Smith & Waterman (1981)



Construct an optimal alignment of these two sequences:

G A T A C T A

G A T T A C C A

Using these scoring rules:

Match: +1

Mismatch: -1

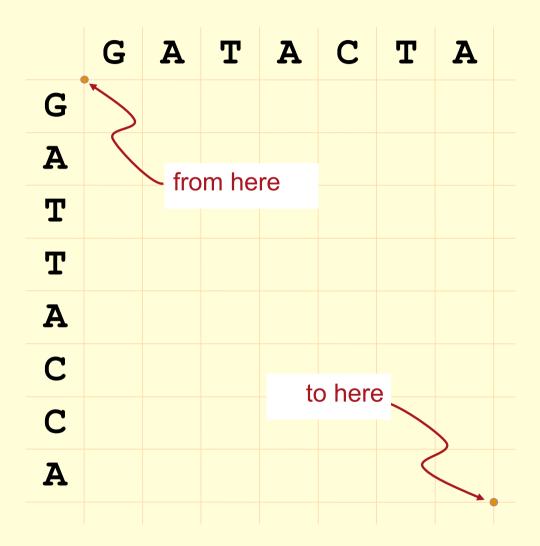


Arrange the sequence residues along a two-dimensional lattice

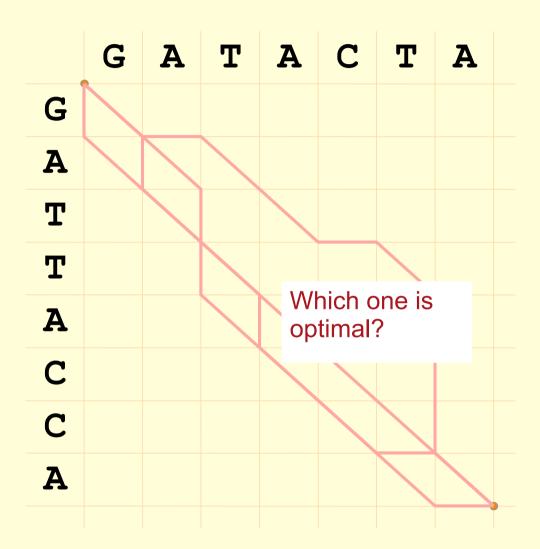
Vertices of the lattice fall between letters

	G	A	T	A	C	T	A	
G								
A								
T								
T								
A								
C								
C								
A								

The goal is to find the optimal path



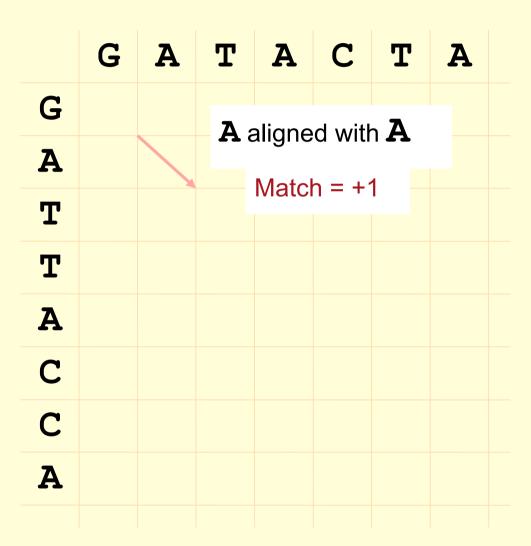
Each path corresponds to a unique alignment



The score for a path is the sum of its incremental edges scores

Match: +1

Mismatch: -1



The score for a path is the sum of its incremental edges scores

Match: +1

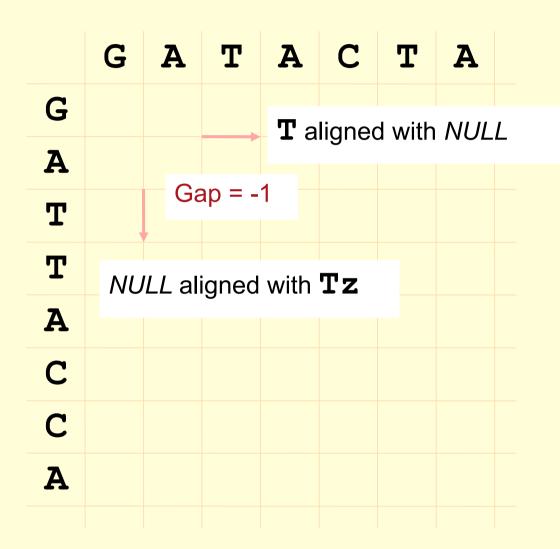
Mismatch: -1

	G	A	T	A	С	T	A
G							
A			A a	aligne	d with	ո <b>T</b>	
T					tch =		
T							
A							
C							
С							
A							

The score for a path is the sum of its incremental edges scores

Match: +1

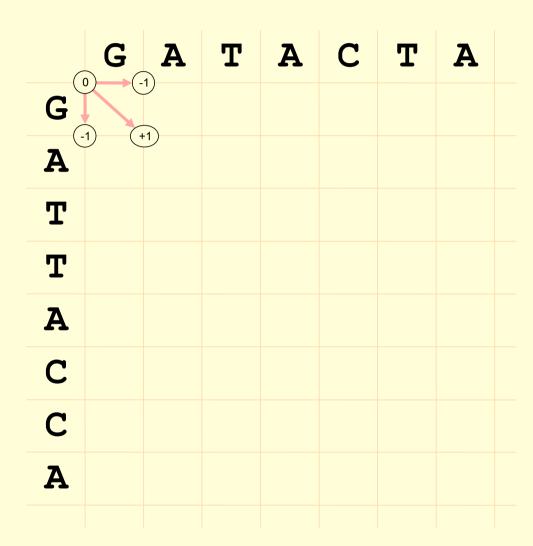
Mismatch: -1



Incrementally extend the path

Match: +1

Mismatch: -1

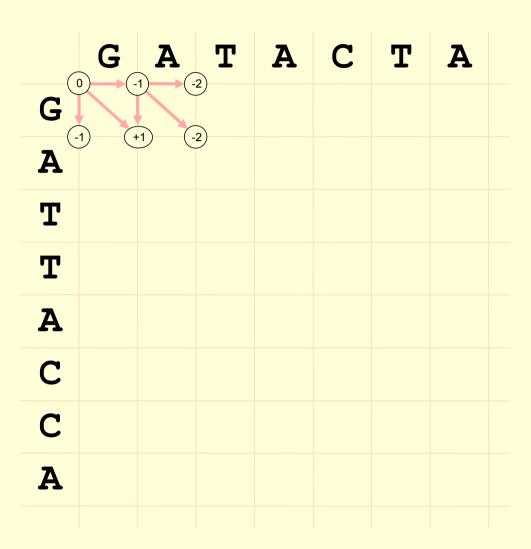


Incrementally extend the path

Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

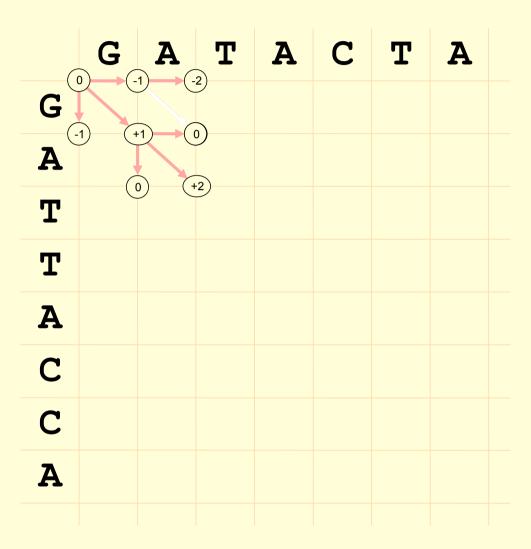


Incrementally extend the path

Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

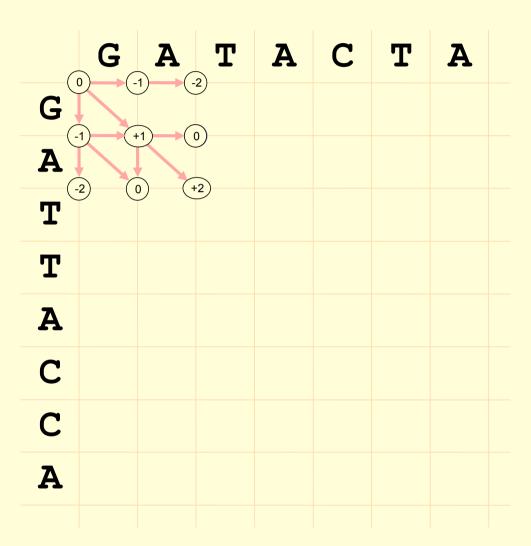


Incrementally extend the path

Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

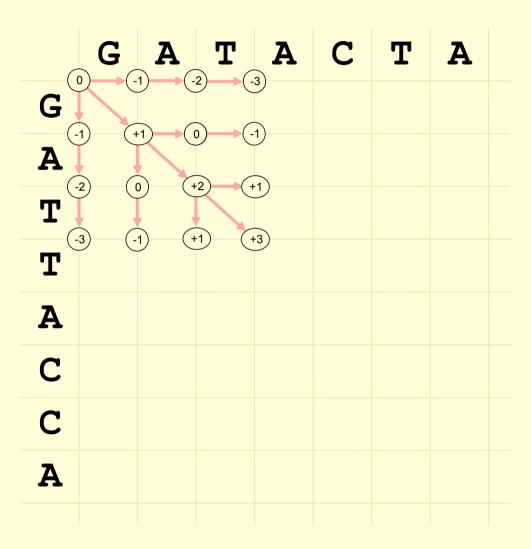


Incrementally extend the path

Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

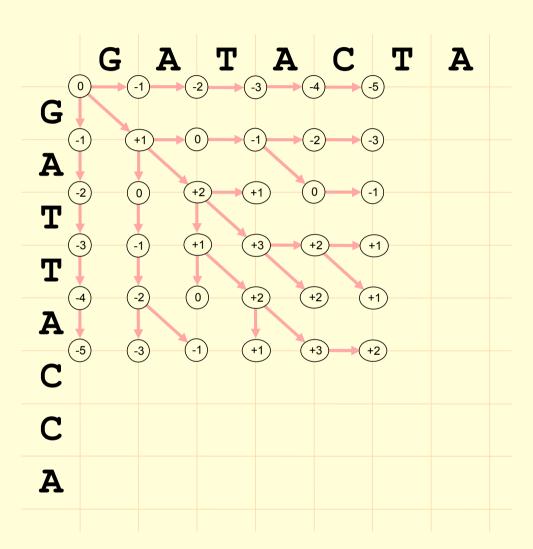


Incrementally extend the path

Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

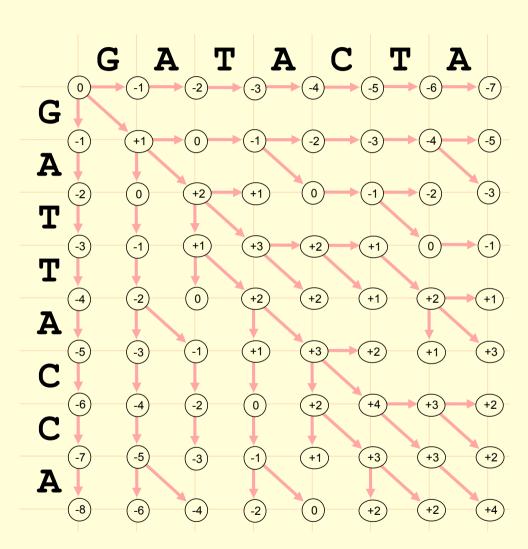


Incrementally extend the path

Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

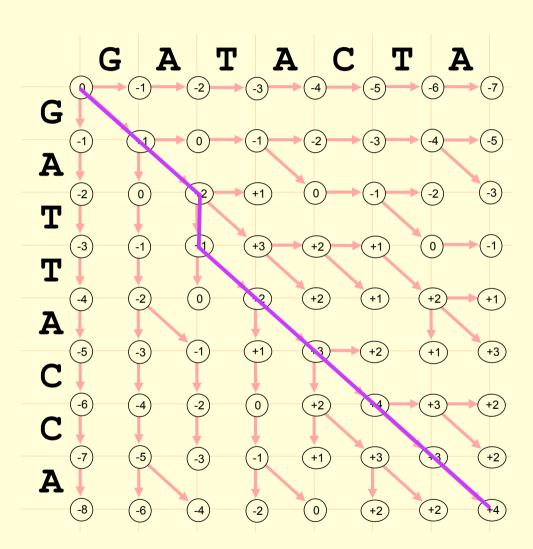


Incrementally extend the path

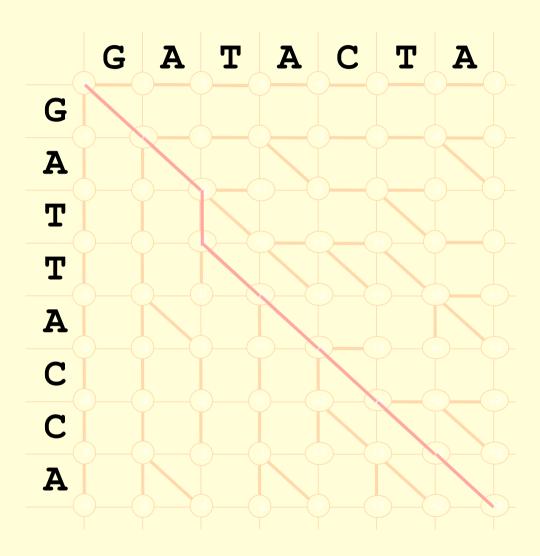
Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

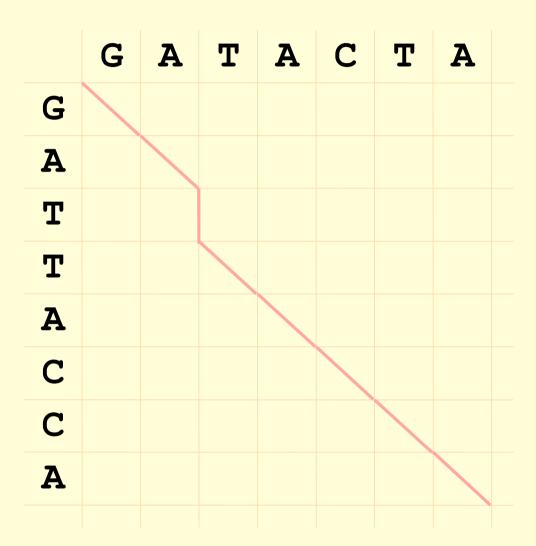


Trace-back to get optimal path and alignment



Print out the alignment

GA-TACTA GATTACCA

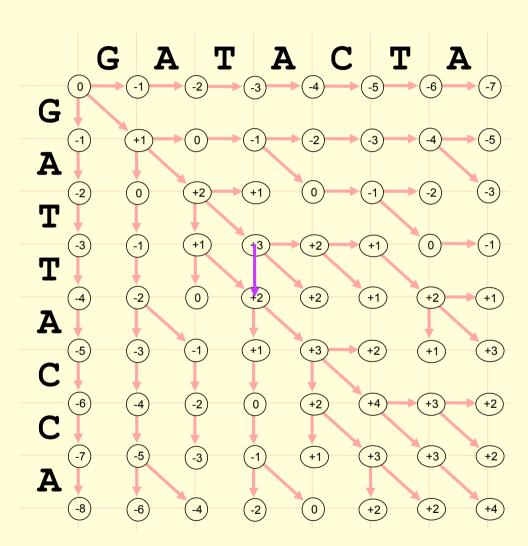


Incrementally extend the path

Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1

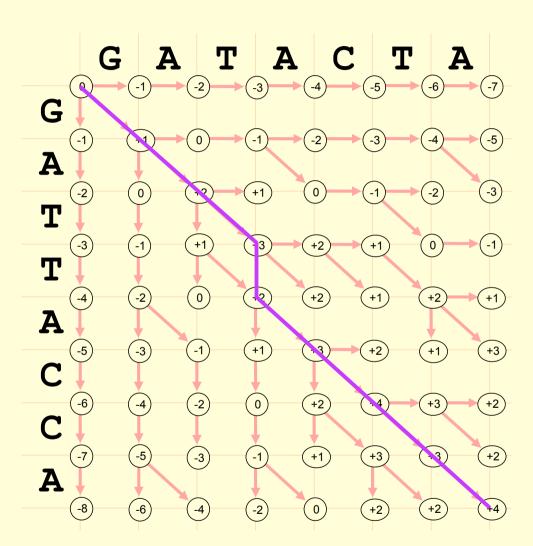


Incrementally extend the path

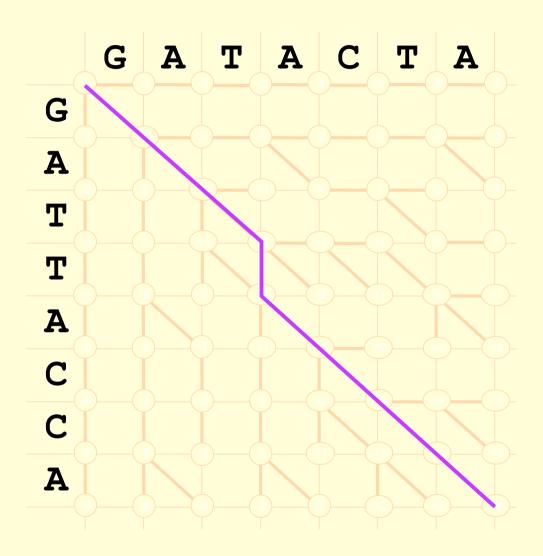
Remember the best sub-path leading to each point on the lattice

Match: +1

Mismatch: -1



Trace-back to get optimal path and alignment

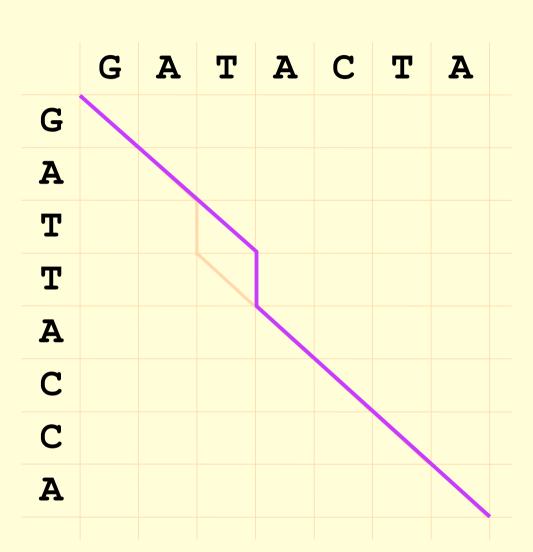


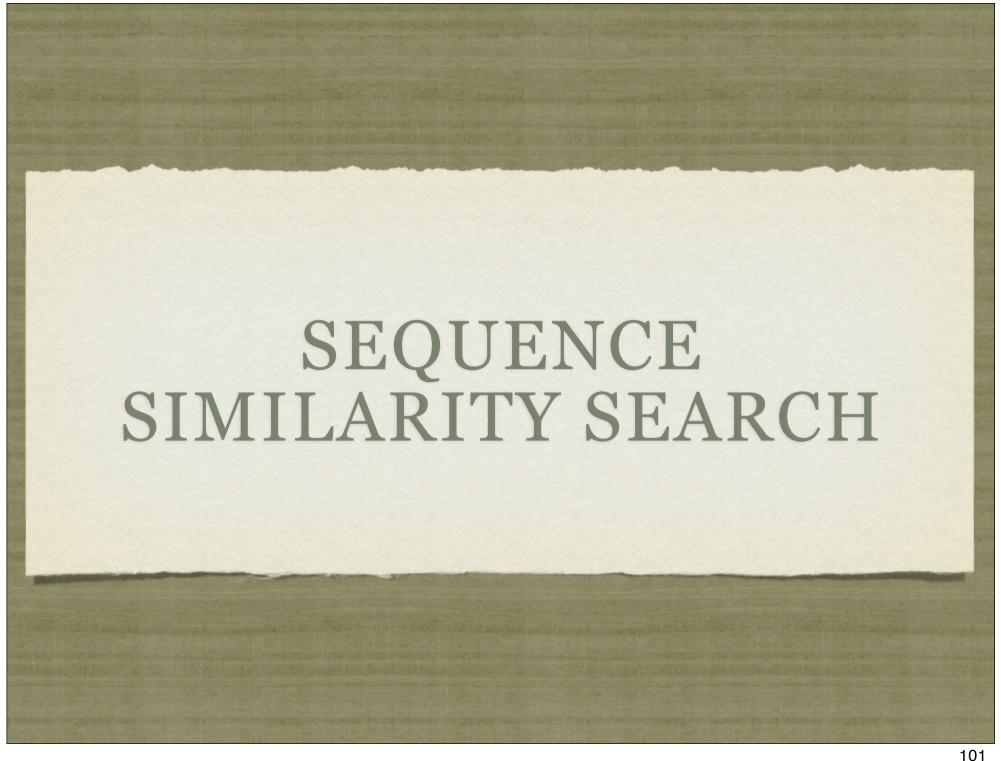
Print out the alignment

GA-TACTA GATTACCA

GAT-ACTA GATTACCA

Both alignments are optimal - give the same max. score





## BASICS OF DATABASE SEARCH

- Database searching is fundamentally different from alignment
- The goal is to find homologous sequences (often more than one), not to establish the correct one-to-one mapping of particular residues
- Usually, this is a necessary first step to making an information map between two sequences
- Database searching programs were originally thought of as approximations to dynamic programming alignments
- Assumption: the best database search conditions are those that would produce the "correct" alignment
- Key idea most sequences don't match. If one can find a fast way to eliminate sequences that don't match, the search will go much faster

## BASICS OF DATABASE SEARCH

basic terminology:

query - sequence to be used for the database search

subject - sequence found in the database that meets some similarity criteria

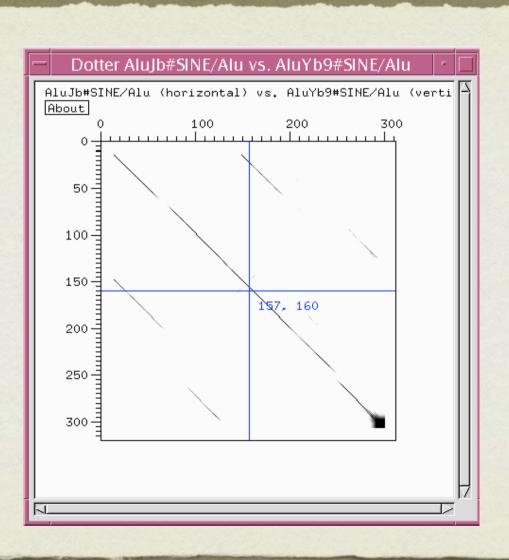
hit - local alignment between query and subject

## BASICS OF DATABASE SEARCH

Through the influence of BLAST and FASTA, database searching programs have converged to a basic format

- a. a graphical depiction of the results
- b. a list of top scoring sequences from the databases
- c. a series of alignments for some of the top scoring sequences

# Related sequences have "diagonals" with high similarity



### BLAST

#### **Basic Local Alignment Search Tool**

#### References:

Altschul, S.F., Gish, W., Miller, W., Myers, E.W. & Lipman, D.J. (1990) "Basic local alignment search tool." J. Mol. Biol. 215:403-410.

Altschul, S.F., Madden, T.L., Schäffer, A.A., Zhang, J., Zhang, Z., Miller, W. & Lipman, D.J. (1997) "Gapped BLAST and PSI-BLAST: a new generation of protein database search programs." Nucleic Acids Res. 25:3389-3402

#### NUCLEOTIDE BLAST ALGORITHM

- 1. Break down query sequence into overlapping words.
- 2. Scan databases for exact matches of size W (BLASTn) or 110110 pattern (MegaBlast).
- 3. Try to extend the word matches into the complete maximal scoring pair (MSP). Significance is easily calculated from Karlin-Altschul equation.
- 4. Perform local dynamic programming alignment around MSP regions

### BLAST - Maximal Segment Pairs (MSP)

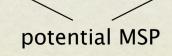
Highest scoring pair of identical length segments from two sequences

Local alignment without gaps
Expected distribution is known!

0121000123456567656543210 TGCAATCGATCGTCGTCCGTATACA running sum match = +1

mism. = -1

**AGCTCGTGATCGTGGTGGGATCGGT** 



#### BLAST - extend word matches

Most expensive step in BLAST algorithm

Extend to end of high scoring segment pair, or HSP. HSPs approximate maximal segment pairs or MSPs. They are only approximate because extension does not continue until running score reaches zero - drop off value concept.

After initial hit was found BLAST tries so called extension - an alignment is extended until the maximum value of the score drops by x, hence name x dropoff value

### PROTEIN BLAST ALGORITHM

- Break down query sequence into overlapping words and create a lookaup table.
- For each word, determine a neighborhood of words that, if found in another sequence, would likely to be part of a significant maximum scoring pair (MSP).
- · Scan databases for neighborhood words.
- If two words are found on the same diagonal within a specified distance, try to extend the word matches into the complete MSP. Significance is (relatively) easy calculated from Karlin-Altschul equation.
- Perform local dynamic programming alignment around MSP regions
- first step of BLASTp is controlled by three parameters and a score matrix
- w word length (k-tuple in FASTA terminology); default value is 3 (lowest possible is 2); two words on the same diagonal are required
- f score threshold; unlike FASTA BLAST allows mismatches at this step but overall score of the "mini-alignment" has to be above the threshold the concept of "neighborhood words"

#### BLASTp - neighborhood words

#### Example - ITV triplet

	BLOSUM62	PAM230
	BLUSUIVI02	
ITV - ITV	4+5+4 = 13	5+3+5 = 13
ITV - MTV	1+5+4 = 10	2+3+5 = 10
ITV - ISV	4+1+4 = 9	2+3+5 = 10
ITV - LTV	2+5+4 = 11	2+3+5 = 10
ITV - LSV	2+1+4 = 7	2+3+5 = 10
ITV - MSV	1+1+4 = 6	2+3+5 = 10
ITV - IAV	4+0+4 = 8	5+1+5 = 11
ITV - MAV	1+0+4 = 5	2+1+5 = 8
ITV - ITL	4+5+1 = 10	5+3+2 = 10
ITV - LAV	2+0+4 = 6	2+1+5 = 8

### BLASTp - neighborhood words

Threshold f = 11 (default for BLASTp)

f=10

	BLOSUM62	PAM230
ITV - ITV	4+5+4 = 13	5+3+5 = 13
ITV - MTV	1+5+4 = 10	2+3+5 = 10
ITV - ISV	4+1+4 = 9	2+3+5 = 10
ITV - LTV	2+5+4 = 11	2+3+5 = 10
ITV - LSV	2+1+4 = 7	2+3+5 = 10
ITV - MSV	1+1+4 = 6	2+3+5 = 10
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ITV - MAV	1+0+4 = 5	2+1+5 = 8
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ITV - LAV	2+0+4 = 6	2+1+5 = 8

	BLOSUM62	PAM230
ITV - ITV	4+5+4 = 13	5+3+5 = 13
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ITV - MSV	1+1+4 = 6	2+3+5 = 10
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ITV - MAV	1+0+4 = 5	2+1+5 = 8
ITV - ITL	4+5+1 = 10	5+3+2 = 10
ITV - LAV	2+0+4 = 6	2+1+5 = 8

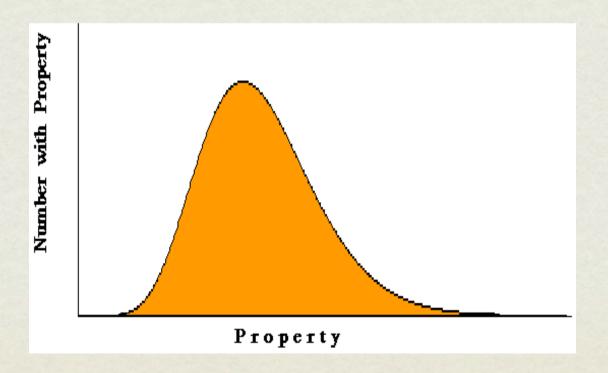
Pairs marked in blue would initiate an alignment extension

#### BLAST - FINAL STEP

- Smith-Waterman algorithm (local dynamic programming), discussed before but limited to regions that include the HSPs
- Significance of alignment with gaps can be evaluated using K and λ estimated from alignments of random sequences with same gap penalty and scoring parameters
- In spite of claims of being "mathematically rigorous" these parameters can only be estimated empirically

## KARLIN-ALTCHUL STATISTICS

High scores of local alignments between two random sequences follow Extreme Value Distribution



## KARLIN-ALTCHUL STATISTICS

For ungapped alignments their expected number with score S or greater equals

 $E = Kmne^{-\lambda S}$ 

K i  $\lambda$ , are parameters related to a search space and scoring system, and m, n represent a query and database length, respectively.

Score can be transformed to a bit-score according to formula S'= bitscore =  $(\lambda S - lnK)/ln2$ , then

 $E = mn2^{-S'}$ 

## KARLIN-ALTCHUL STATISTICS

- for ungapped alignments parameters K and λ are calculated algebraically but for gapped alignment a solid theory doesn't exist and these parameters are calculated by simulation which has to be run for every combination of scoring system including gap penalties
- therefore not all gap opening and extension score combinations are available
- · more at <a href="http://www.ncbi.nlm.nih.gov/BLAST/">http://www.ncbi.nlm.nih.gov/BLAST/</a>
  <a href="tutorial/Altschul-1.html">tutorial/Altschul-1.html</a>

#### BLAST - KNOWN PROBLEMS

- Significance is calculated versus theoretic distribution using Karlin-Altschul equation not real sequences.
- · Assumes sequences are random
- Assume database is one long sequence length effects are not corrected for
- Statistics are very inaccurate for short queries (ca. 20 characters).
- Be careful when you change BLAST parameters, some of them should be coordinated, e.g. match/mismatch penalty and X-drop off value
- nucleotide BLAST default parameters tuned up for speed not sensitivity [Gotea, Veeramachaneni, and Makalowski (2003) Mastering seeds for genomic size nucleotide BLAST searches. Nucleic Acids Res. 31(23):6935-41]

## BLAST ALGORITHM IMPLEMENTATON

Program	Query	Database type
blastn	nt	nt
megablast	nt	nt
blastp	aa	aa
blastx	nt	aa
tblastn	aa	nt
tblastx	nt	aa
blast2seq	nt, aa	nt, aa

#### BIOINFORMATICS CREDO

- Remember about biology
- Do not trust the data
- Use comparative approach
- Use statistics
- Know the limits
- Remember about biology!!!

